



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

In Re: KAFFINE, David M., et al.

Serial No: 09/503352

Filed: 2/14/2000

For: NETWORK FAULT ANALYSIS
TOOL

Group: 2785

Examiner: MCCARTHY,
Christopher

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July 16, 2003

Technology Center 2100

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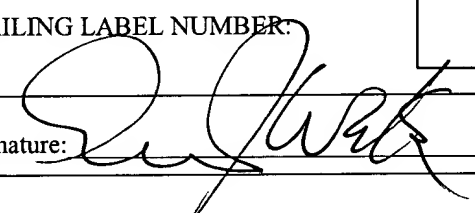
I, Edmund J. Walsh, Reg. No. 32,950, certify that—

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Sir/Madam:

In accordance with the duty of candor, the Applicant wishes to make of record the following documents listed on the attached form.

☒ A) This statement is being filed—

1. Within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d);
2. Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application;
3. Before the mailing of a first Office action on the merits; or
4. Before the mailing of a first Office action after the filing of a request for continued examination under § 1.114,

and therefore no additional fees are due,

OR

☐ B) This statement is being filed—

1. Before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application; or
2. On or before payment of the issue fee,

and it is accompanied by one of:

☐ A fee set forth in § 1.17(p). The Commissioner is hereby authorized to charge \$180, the payment of fees under § 1.17(p), to Deposit Account Number 20-0515; or

☐ A statement specified in 37 C.F.R. 1.97 (e):

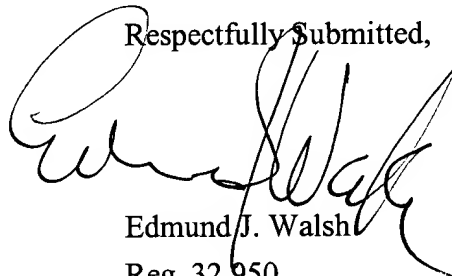
☐ Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

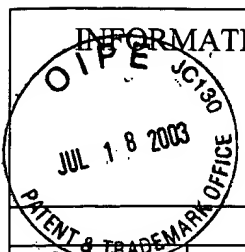
☐ No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge

of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

This information disclosure statement is not to be construed as a representation that a search has been made, nor is it to be construed as an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b).

Atty. Docket : 1335-US
Telephone : 617-422-2853
Fax : 617-422-2290

Respectfully Submitted,

Edmund J. Walsh
Reg. 32,950
Attorney for Applicant

INFORMATION DISCLOSURE STATEMENT				Atty. Docket No. 1335		Serial No. 09/503352	
				Applicant: KAFFINE, David M., et al.			
				Filing Date: 2/14/2000		Group: 2785	
U.S. PATENT DOCUMENTS							
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if appropriate	
	AA	5377196	Dec 27, 1994	Godlew et al.	371	20.1	Dec 30, 1993
	AB						
	AC						
	AD						
	AE						
	AF						
FOREIGN PATENT DOCUMENTS							
	Document Number	Date	Country	Class	Subclass	Translation	
	AG	WO 99/34544	Jul 8, 1999	PCT	H04J 3	14	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
	AH						
	AI						
OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)							
	AJ	HORNEFFER M: "Methods for Performance-Analysis of Internet Access Points" COMPUTER NETWORKS AND ISDN SYSTEMS, North Holland Publishing. Amsterdam, NL, vol. 30, no. 16-18, 30 September 1998 (1998-09-30), pages 1607-1615, XP004138692 ISSN: 0169-7552					
	AK	LARSEN A K: "All Eyes on IP Traffic. New Apps Can Monitor Internet and Intranet Traffic, But Do They Deliver Enough Data to Hold ISPS to Their Promises?" Data Communications, McGraw Hill. New York, US, vol. 26, no. 4, 21 March 1997 (1997-03-21), pages 54,56-60, 62, XP000659549 ISSN: 0363-6399					
	AL	DE ALBUQUERQUE C V N; NUNES M D; DUARTE O C M B: "An Efficient Implementation Architecture for Layered Communication Systems" 38 th Midwest Symposium on Circuits and Systems, 13-16 August 1995, pages 514-517, XP002181823 Rio de Janeiro, Brazil, the whole document					
	AM						
	AN						
	AO						
Examiner				Date Considered			
Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							